

CONFLICT OF INTEREST CODE
FOR
CALIFORNIA MENTAL HEALTH SERVICES AUTHORITY

Resolution No. 09-01

I. Background

The political Reform Act, Government Code Section 81000, et, seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 Cal. Code of Regulations/Section 18730, which contains the terms of a standard Conflict Of Interest, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearing. Therefore, the terms of 2 Cal. Code of Regulations/Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the California Mental Health Services Authority (CMHSA).

II. Designated Positions

Pursuant to the standard code all designated employees shall file their statements of economic interests with CMHSA which shall make the statements public inspection and reproduction (Government Code Section 82008).

III. Disclosure Categories

Individuals specified under these categories shall disclose any relevant information concerning investments in, source of income from, or his or her status as a director, officer, partner, trustee, employee, or any position of management in any business entities described below.

For the purpose of this code, the following disclosure categories are established:

- Category 1. Business entities which are of the type to supply to CMHSA materials, products, supplies, commodities or equipment utilized by the CMHSA.

- Category 2. Business entities which are of the type to supply to CMHSA services, including professional services, utilized by CMHSA.
- Category 3. Business entities engaged in the business of insurance including, but not limited to, insurance companies, carriers, holding companies, underwriters, brokers, solicitors, agents, adjusters, claims managers and actuaries.
- Category 4. Financial institutions including, but not limited to, banks, savings and loan associations and credit unions which are located in, doing business in, plan to do business in, or have done business in the jurisdiction of CMHSA.
- Category 5. Business entities or persons who have filed a claim, or have a claim pending, against CMHSA or any member of CMHSA.
- Category 6. All interests in real property located within the jurisdiction of CMHSA.

Date Adopted:

Date of Last Revision: N/A

Replaces Resolution No: N/A

Ayes: 6 Noes: 0 Abstains: 0 Absent: 1

[Signature]
NAME

President
TITLE

07/09/09
DATE

APPENDIX

TO
CONFLICT OF INTEREST CODE
OF
CALIFORNIA MENTAL HEALTH SERVICES AUTHORITY

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Members of the Board of Directors (including all officers)	All
Alternate Members of the Board of Directors	All
Administrator	All
Consultants*	All
General Counsel	All
Legal Position	All
Auditor	All

*With respect to Consultants, the President of the Board, in the absence of an Administrator, may determine in writing that a particular consultant, although a designated person is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this Section. Such determination shall include a description of the consultant's duties and based upon that description, a statement of the extent of disclosure requirements. A copy of this determination shall be retained by the Joint Powers Authority. Nothing herein excuses any such consultants from any other provisions of the Conflict of Interest Code.

- (1) If these Designated Positions are business firms, the Statement shall be filed by the individual in the firm who has primary responsibility for conducting the firm's business activities for CMHSA.
- (2) "Consultants" shall include any natural person who provides, under contract, information, advise, recommendation or counsel to a state or local government agency.